

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 C.F.R. § 1.63)**AS A BELOW-NAMED INVENTOR, I HEREBY DECLARE THAT:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **ORGANIC ELECTROLUMINESCENT DISPLAY AND METHOD FOR MAKING SAME**, the specification of which is attached hereto unless the following box is checked:

- ☐ is attached hereto OR
- ☒ was filed on **December 5, 2000** as U.S. Application Serial No. **09/731,314** or PCT International Application No. _____ and was amended on _____ (if applicable).

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number	Country	Foreign Filing Date (month/day/year)	Priority Claimed
89120490	Taiwan	October 2, 2000	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of the United States application(s), or § 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (month/day/year)	Parent Patent Number (if applicable)

Please direct all communications to:

Antoinette F. Konski, Esq.
Baker & McKenzie
660 Hansen Way
Palo Alto, California 94304
Telephone: (650) 856-2400 - Facsimile: (650) 856-9299

Please direct all telephone calls to Antoinette F. Konski at (650) 856-5564.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title of 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

✓ Date: 3/Mar./2001

✓ By: Chia-Tin Chung
Name: Chia-Tin Chung
Residence: No. 165, Lane 676, Min Zu Road, Tou Fen, Miao Li, Taiwan R.O.C.
Citizenship: R.O.C., Taiwan
Post Office Address: Same as above

✓ Date: 12/Mar./2001

✓ By: SU-Jen Chang
Name: Su-Jen Chang
Residence: No. 30-1, Hsin Rong Lane, Cheng Gong, Bing Dong, Taiwan, R.O.C.
Citizenship: R.O.C., Taiwan
Post Office Address: Same as above

✓ Date: 9/Mar./2001

✓ By: Andrea Hwang
Name: Andrea Hwang
Residence: No. 3, Lane 342, Yi Hsin Nan Street, Zhang Hwa, Taiwan, R.O. C.
Citizenship: R.O.C., Taiwan
Post Office Address: Same as above

✓ Date: 13/Mar./2001

✓ By: Chen-Ze Hu
Name: Chen-Ze Hu
Residence: 7F, No. 3, Lane 418, Ying Hsin Street, Zhong Ho, Taipei County, Taiwan, R.O. C.
Citizenship: R.O.C., Taiwan
Post Office Address: Same as above

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Chi Mei Optoelectronics Corporation

Application No./Patent No.: Initial Filing Filed/Issue Date: October 16, 2003

Entitled: Organic Electroluminescent Display and Method for Making Same

Chi Mei Optoelectronics Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. A copy of the assignment filed in the parent application, serial number 09/731,314 is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 16, 2003

Brian C. McCormack, Reg. No. 36,601

Date

214.978.3007

Typed or printed name

Brian C. McCormack

Signature

Telephone number

Attorney for Assignee

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT
(JOINT)

THIS ASSIGNMENT, by Chia-Tin Chung, residing at No. 165, Lane 676, Min Zu Road, Tou Fen, Miao Li, Taiwan, R.O.C.; Su-Jen Chang, residing at No. 30-1, Hsin Rong Lane, Cheng Gong, Bing Dong, Taiwan, R.O.C.; Andrea Hwang, residing at No. 3, Lane 342, Yi Hsin Nan Street, Zhang Hwa, Taiwan, R.O.C.; and Chen-Ze Hu, residing at 7F, No. 3, Lane 418, Ying Hsin Street, Zhong Ho, Taipei County, Taiwan, R.O.C. (hereinafter referred to as the assignors), respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in **ORGANIC ELECTROLUMINESCENT DISPLAY AND METHOD FOR MAKING SAME**, set forth in an application for Letters Patent of the United States, bearing Serial No. 09/731,314, filed on December 5, 2000; and

WHEREAS, Chi Mei Optoelectronics Corporation, a corporation duly organized under and pursuant to the laws of Taiwan and having its principal place of business at No. 1, Chi-Yeh Road, Hsin-Shih, Tainan Science-Based Industrial Park, Tainan, Taiwan, R.O.C. (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representative and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representative and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the joint and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representative and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, Legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

PATENT

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

✓ 5/Mar./2001
Date

✓ Chia-Tin Chung.
Name: Chia-Tin Chung

✓ 12/Mar./2001
Date

✓ Su-Jen Chang
Name: Su-Jen Chang

✓ 9/Mar./2001
Date

✓ Andrea Hwang
Name: Andrea Hwang

✓ 13/March/2001
Date

✓ Chen-Ze Hu
Name: Chen-Ze Hu